



15992 U.S. PTO

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PTO/SB/05 Revised (11-00)

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 42339-199125

First Inventor Jiangqi HE

Title EXTENDED THIN FILM CAPACITOR (TFC)

Express Mail Label No.

22859
U.S. PTO
107808489

032504

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 18]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Pages 3]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))

(for a continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76
- Priority is claimed under the provisions of 35 U.S.C. § 119

Appln No. _____ filed in _____ on _____

Appln No. _____ filed in _____ on _____

ADDRESS TO: Commissioner for PatentsBox Patent Application
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:
- Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____
 Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	 26694 <small>PATENT TRADEMARK OFFICE</small>
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or Correspondence address below

Name	VENABLE				
Address	P.O. Box 34385				
City	Washington	State	D.C.	Zip Code	20043-9998
Country	U.S.A.	Telephone	202-344-4000	Fax	202-344-8300

Name (Print/Type)	Catherine M. Voorhees	Registration No. (Attorney/Agent)	33,074
Signature			Date
			March 25, 2004

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U.S.PTO

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 990)

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Complete If Known

Application Number	To Be Assigned
Filing Date	March 25, 2004
First Named Inventor	Jiangqi HE
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned

Attorney Docket No. 42339-199125

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	22-0261
Deposit Account Name	VENABLE LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES		Large Entity Fee Code (\$)	Fee Description	Fee Paid
Small Entity Fee Code (\$)	Fee Description			
1051	Surcharge - late filing fee or oath	130	2051	65
1052	Surcharge - late provisional filing fee or cover sheet	50	2052	25
1053	Non-English specification	130	1053	130
1812	For filing a request for reexamination	2,520	1812	2,520
1804	Requesting publication of SIR prior to Examiner action	920*	1804	920*
1805	Requesting publication of SIR after Examiner action	1,840*	1805	1,840*
1251	Extension for reply within first month	110	2215	55
1252	Extension for reply within second month	420	2252	210
1253	Extension for reply within third month	950	2253	475
1254	Extension for reply within fourth month	1,480	2254	740
1255	Extension for reply within fifth month	2010	2255	1005
1401	Notice of Appeal	330	2401	165
1402	Filing a brief in support of an appeal	330	2402	165
1403	Request for oral hearing	290	2403	145
1451	Petition to institute a public use proceeding	1,510	1451	1,510
1452	Petition to revive - unavoidable	110	2452	55
1453	Petition to revive - unintentional	1,330	2453	665
1501	Utility issue fee (or reissue)	1,330	2501	665
1502	Design issue fee	480	2502	240
1503	Plant issue fee	640	25403	320
1460	Petitions to the Commissioner	130	1460	130
1807	Processing fee under 37 CFR 1.17 (q)	50	1807	50
1808	Submission of Information Disclosure Stmt	180	1808	180
8021	Recording each patent assignment per property (times number of properties)	40	8021	40
1809	Filing a submission after final rejection (37 CFR § 1.129(a))	770	2809	385
1810	For each additional invention to be examined (37 CFR § 1.129(b))	770	2810	385
1801	Request for Continued Examination (RCE)	770	2801	385
1802	Request for expedited examination of a design application	900	1802	900
Other fee (specify) _____				

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Catherine M. Voorhees	Registration No. Attorney/Agent)	33,074	Telephone	(202) 344-4000
Signature				Date	March 25, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.